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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/944,055	08/31/2001	Donna M. Severino	G08.004	8339

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BUCKLEY, MASCHOFF, TALWALKAR LLC  
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EXAMINER .

RONES, CHARLES

ART UNIT	PAPER NUMBER
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2175

DATE MAILED: 12/09/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/944,055

Applicant(s)

SEVERINO ET AL.

Examiner

Charles L. Rones

Art Unit

2175

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 9-4-03.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### *Amendments*

The amendment timely filed on September 4, 2003 has been entered.

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1 and 4-24 rejected under 35 U.S.C. 102(b) as being anticipated by Pizano et al. U.S. 5,293,429 ('Pizano').

**Pizano** discloses:

As to claim 1,

identifying a template based on a classification of a document to be stored  
receiving document information associated with a said document to, said document

information including information defined by said template; See Abstract; 2:38-55;  
10:32-43;

generating a pending record containing said document information; See Abstract;  
2:38-55; 10:32-43;

verifying said document information; See Abstract; 2:38-55; 10:32-43; and  
generating an active record if said verifying is successful, said active record  
available for searching and retrieval by a user; See Abstract; 2:38-55; 10:32-43.

As to claim 4,

wherein said template is selected from among a library of available templates;  
See Abstract; 2:38-55; 10:32-43.

As to claim 5,

wherein said classification is a document collection classification; See Abstract;  
2:38-55; 10:32-43.

As to claim

6,

determining if said document has previously been stored; See Abstract; 2:38-55;  
10:32-43.

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As to claim 7,

wherein said template defines a plurality of data elements required to identify a document according to said classification; See Abstract; 2:38-55; 10:32-43.

As to claim 8,

receiving document data corresponding to each of said plurality of data elements prior to generating said pending record; See Abstract; 2:38-55; 10:32-43.

As to claim 9,

associating said pending record with an image of said document; See Abstract; 2:38-55; 10:32-43.

As to claim 10,

wherein said associating includes referencing a document record identifier in both said pending record and said image; See Abstract; 2:38-55; 10:32-43.

As to claim 11,

associating said active record with an image of said document; See Abstract; 2:38-55; 10:32-43.

As to claim 12,

wherein said document information includes at least one of: a collection name; a tile name; subcategory information location information; owner information; creator information; cross reference information; status information; history information; geographical information; and archive information; ; See 3:11-23; 5:1-5.

As to claim 13,

storing said pending record in a document management database; and  
storing an indicator with said pending record indicating that it has not yet been approved (matched); See Fig. 3; 6:62-67.

As to claim 14,

modifying said indicator upon approval of said pending record, thereby  
converting said pending record into said active record; See Fig. 3; 6:62-67.

As to claim 15,

wherein access to said pending records in said document management database  
is limited to authorized reviewers operating client devices; See Fig. 3; 6:62-67.

As to claim 16,

receiving document information from an external system used to generate said  
document; See Abstract; 2:38-55; 10:32-43.

As to claims 17,

conducting a search for existing records of a record management system  
referencing said document; See Abstract; 2:38-55; 10:32-43;

submitting a request to add said document to said record management system if  
said search indicates that said document is not referenced in said record management  
system, said request identifying at least a type of said document; See Abstract; 2:38-55;  
10:32-43;

entering document data in a data entry template, said data entry template  
selected based at least in part on said type of said document; See Abstract; 2:38-55;  
10:32-43.

As to claim 18,

forwarding said document data to a document management database, said  
document management database storing said document data in a document record;  
See Abstract; 2:38-55; 10:32-43.

As to claim 19,

associating a document image with said document record; See Abstract; 2:38-55;  
10:32-43.

As to claim 20,

wherein said document image is associated with said document record based on a record identifier of said document record; See Abstract; 2:38-55; 10:32-43.

As to claim 21.

receiving information associated with a desired document; See Abstract; 2:38-55; 10:32-43;

identifying an active record of a document management database containing said information, said active record including information having previously been approved; See Abstract; 2:38-55; 10:32-43; and

converted from a pending record; See Abstract; 2:38-55; 10:32-43;  
identifying a physical location of said document; See Abstract; 2:38-55; 10:32-43;  
displaying information from said record; See Abstract; 2:38-55; 10:32-43; and  
identifying a location of an image of said document; See Abstract; 2:38-55;  
10:32-43

As to claim 22,

means for identifying a template based on a classification of a document to be stored;  
See Abstract; 2:38-55; 10:32-43:

means for receiving document information associated with a said document to be store said document information including information defined by said template; See Abstract; 2:38-55; 10:32-43;



means for generating a pending record containing said document information;

See Abstract; 2:38-55; 10:32-43;

means for verifying said document information; See Abstract; 2:38-55; 10:32-43;

and

means for generating an active record if said verifying is successful, said active record available for searching and retrieval by a user; See Abstract; 2:38-55; 10:32-43.

As to claims 23,

a processor; See 1:10-30;

a communications device, in communication with said processor, receiving data ;

See 1:10-30; See 1:10-30 and

a memory unit in communication with said processor and storing a program,  
wherein the processor is operative with the program to

identify a template based a classification of a document to be stored; See  
Abstract; 2:38-55; 10:32-43;

receive document information associated with a said document to be  
stored document information including information defined by said template; See  
Abstract; 2:38-55; 10:32-43;

generate a pending record containing said document information; See  
Abstract; 2:38-55; 10:32-43;

verify said document information; See Abstract; 2:38-55; 10:32-43; and

generate an active record if said verifying is successful, said active record

available for searching and retrieval by a user; See Abstract; 2:38-55; 10:32-43.

As to claim 24,

identifying a template based on a classification of a document to be stored; See Abstract; 2:38-55; 10:32-43;

receiving document information associated with a said document, said document information including information defined by said template; See Abstract; 2:38-55; 10:32-43;

generating a pending record containing said document information; See Abstract; 2:38-55; 10:32-43;

verifying said document information; See Abstract; 2:38-55; 10:32-43; and


generating an active record if said verifying is successful, said active record available for searching and retrieval by a user; See Abstract; 2:38-55; 10:32-43.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Charles L. Rones whose telephone number is 703-306-3030. The examiner can normally be reached on Monday-Thursday 8am-4pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dov Popovici can be reached on 703-305-3830. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3800.

  
Charles L. Rones  
Primary Examiner  
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